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PRIVACY POLICY

As a client of Argent Wealth Management you share personal and financial information with us. We recognize that our relationship is based on trust. We are committed to keeping your trust and confidence by protecting your confidential information. As part of this commitment to you, we are providing this Privacy Policy to describe: 1. The information we collect about you, 2. The circumstances under which we may share such information, and 3. The procedures we follow to protect that information.

The Information We Collect About You

We get most information directly from you. In the normal course of doing business we may obtain the following public and non-public information about our clients:

- Personal information such as name, address, date of birth, driver's license number, occupation, and SSN/TIN number.
- Financial information such as financial goals, net worth, assets, income, investment holdings, account numbers, tax returns and account balances.
- Insurance policy information such as the following: life, disability, health insurance, auto, home and umbrella coverage
- Historical information for current and prior financial accounts, including that available from on-line access if you provide us the means to obtain such information on your behalf.
- Information regarding securities transactions effected by us.

We also may obtain personal information from third parties in order to verify your identity and prevent fraud.

Disclosure of Your Information

- We **do not sell information** about current or former clients to third parties.
- We generally do not disclose information to third parties unless requested, such as:
 - With your approval, we will disclose information to your attorney, trustee or someone else representing you in a fiduciary capacity.
 - With your approval, we will disclose relevant information to your accountant or tax preparer.
 - With your approval, we will disclose relevant information when requesting insurance quotes on your behalf.
- We may share information with outside companies that perform administrative services for us, or who process transactions. However, we require these service providers to treat your private information with the same high degree of confidentiality that we do.
- We will share information to government entities or other third parties in response to subpoenas or other legal process as required by law or to comply with regulatory inquiries.

PRIVACY POLICY - continued

Information Security

- We maintain physical, electronic, and procedural safeguards to protect your information from unauthorized access. These include, but are not limited to, the following:
 - Our office is locked at all times (except when we are expecting clients for scheduled appointments) and electronically monitored by a third party security service when employees are not present.
 - Paper documents are stored in locked file cabinets. We seek to minimize the amount of paper files we keep on hand.
 - Electronic documents are stored on network storage device that is locked physically and protected electronically.
 - All workstations require password access and have Internet and firewall software security. We avoid including any client data on workstations.
 - Passwords are changed periodically.
 - Periodic backups of electronic data are stored in a bank safe deposit box.
 - Email attachments containing confidential client information are password protected if feasible.
- We restrict access to your information to employees who need to know the information in order to perform their jobs.

Access to and Correction of Information

- Please contact us if you desire to review any information that we maintain about you.
- If you notify us that any information is incorrect we will promptly review it. If we agree, we will correct our records accordingly. If we disagree, you may submit a short statement of dispute.

Further Information

- Please contact us if you have questions or concerns. We are happy to discuss our Privacy Policy with you.
- We will notify you if we make any substantial changes in the way we use or share confidential information.
- We reserve the right to change this Privacy Policy.
- Our Privacy Policy will continue to apply to you even if you are no longer an active client.
- The information we collect will vary based on the level of service you have engaged us to provide for you.
- We will notify you of our Privacy Policy annually as required by federal law as long as we have billed you for services in the past 12 months.
- You can always review our current Privacy Policy on our website at awmtx.com, or request that we mail you a copy.
- This notice is intended to comply with Federal and State laws regarding privacy.